



11-30-01

Receipt
\$7

Patent
Attorney Docket: 266/300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
Inventor: PILCH, Daniel S. et al.) Group Art Unit: 1614
Serial No.: 09/945,155) Examiner: Not yet assigned
Filed: August 31, 2001)
For: INHIBITION OF VIRAL)
REPLICATION BY TARGETING)
RNA-DNA COMPLEXES)

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on _____, enclosed are:

- Declaration
 Power of Attorney: Separate or Combined with Declaration
 Assignment of the invention to _____ (together with PTO Form 1595)
 A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

LA-215059.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

EL607743110US
Express Mail Label No.

November 27, 2001
Date of Deposit

Miyabi Grace
Name of Person Mailing Paper

Signature of Person Mailing Paper

- Request for Correction to Filing Receipt (inventor's residence)

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/>	one month	\$55.00
<input type="checkbox"/>	two months	\$200.00
<input type="checkbox"/>	three months	\$460.00
<input type="checkbox"/>	four months	\$720.00
<input type="checkbox"/>	five months	\$980.00

Fee

- If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

- Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:						\$740.00
Total Claims	-	20	=	0	x \$18.00	\$0.00
Independent Claims	6	-	3	=	3 x \$84.00	\$252.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS						\$1,122.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$561.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						<input type="checkbox"/> \$0.00
TOTAL FEES SUBMITTED HEREWITH						\$561.00

IV. METHOD OF PAYMENT OF FEES:

- A check in the amount of \$561.00 is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.

Patent
Attorney Docket: 266/300

- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 11-14-01

By: 
Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,155	08/31/2001	Daniel S. Pilch	266/300

22249
 LYON & LYON LLP.
 633 WEST FIFTH STREET
 SUITE 4700
 LOS ANGELES, CA 90071



CONFIRMATION NO. 1597
FORMALITIES LETTER



OC000000006868332

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

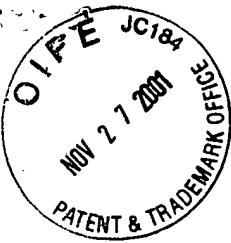
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

*A copy of this notice **MUST** be returned with the reply.*

KL

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Yes
12-28-01

Patent
Attorney Docket No. 266300

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:) Group Art Unit: 1614
Inventor: PILCH, Daniel S. et al.) Examiner: Not yet assigned
Serial No.: 09/945,155)
Filed: August 31, 2001)
For: INHIBITION OF VIRAL)
REPLICATION BY TARGETING)
RNA-DNA COMPLEXES)

REQUEST FOR CORRECTION TO FILING RECEIPT

Box Missing Parts
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

This Request for Correction of the Filing Receipt is to correct the inventor's residence to "Daniel S. Pilch, Highland Park, NJ" and "Tsai-Kun Li, Edison, NJ." A copy of the Filing Receipt is also enclosed with corrections marked in red for your convenience.

We kindly request a corrected Filing Receipt with the correct residence of the inventors. If you have any questions or comments, please do not hesitate to contact the undersigned.

LA-215066.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

EL607743110US
Express Mail Label No.

November 27, 2001
Date of Deposit

Miyabi Grace
Name of Person Mailing Paper
Miyabi Grace
Signature of Person Mailing Paper

Patent
Attorney Docket No. 266/300

The Commissioner is hereby authorized to charge any additional fees which may be required,

or credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 11-14-01

By: Michael J. Wise
Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

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633 W. Fifth Street, Suite 4700
Los Angeles, CA 90071
Tel: (213) 489-1600
Fax: (213) 955-0440

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Page 1 of 2

Nov 26 2001



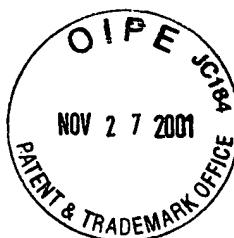
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/945,155	08/31/2001	1614	0.00	266/300	5	17	6

22249
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071



CONFIRMATION NO. 1597

FILING RECEIPT



OC000000006868331

MW

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel S. Pilch, Residence=Not Provided; Highland Park, NJ
Tsai-Kun Li, Residence=Not Provided; Edison, NJ

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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Nov 15 2001

U.S. PROSECUTION

Title

Inhibition of viral replication by targeting RNA-DNA complexes

Preliminary Class

514



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CTR 10/26/2001
 CONFIRMATION NO. 158-2001
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20591
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Bib Data Sheet

SERIAL NUMBER 09/945,155	FILING DATE 08/31/2001 RULE	CLASS 514	GROUP ART UNIT 1614	ATTORNEY DOCKET NO. 266/300
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APPLICANTS

Daniel S. Pilch, Highland Park, NJ;
 Tsai-Kun Li, Edison, NJ;

**** CONTINUING DATA *********** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ****

** 10/08/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NJ	SHEETS DRAWING 5	TOTAL CLAIMS 17	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

22249

TITLE

Inhibition of viral replication by targeting RNA-DNA complexes

FILING FEE RECEIVED 561	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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